EXAMINER'S ISSUE CHECKLIST

When passing an application to issue, each of the following items should be checked:



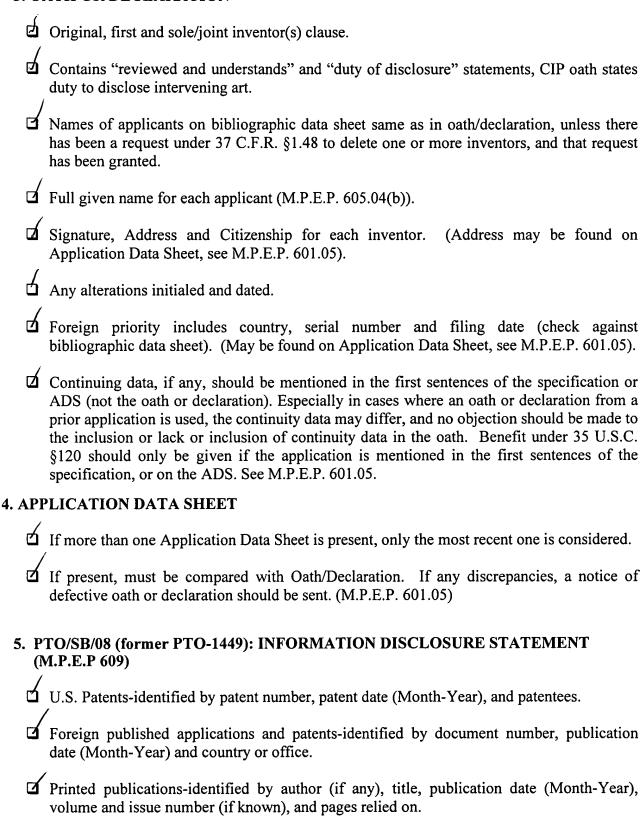
- Single sentence, starting with a capital letter and ending with a period (beware of claims ending with chemical structure and no period).
- Claim text should not be missing or contain duplicate or additional text.
- Make sure that there is a complete claim listing, and that the status identifier for each claim is correct.
- Claim numbering:
 - I f needed, Examiner should renumber both the independent and dependent claims, properly using Issue Classification form (IFW).
 - No two claims are numb ered the same.
- Dependent claims:
 - No claim depends from the wrong claim or a higher-numbered claim, as renumbered. If claims are renumbered in other than the order presented by applicant, amend numbering by Examiner's amendment (no attorney authorization).
 - Dependent claims narrow the scope of the claim from which they depend.
 - No improper multiple de pendent claims

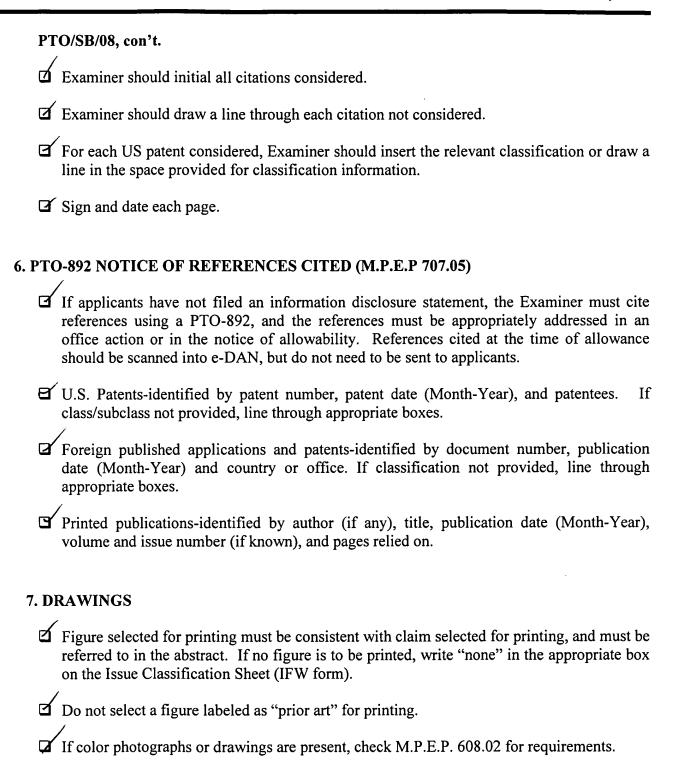
2. SPECIFICATION

- Abstract:
 - Abstract is present, and gives an adequate and clear statement of the disclosed invention (M.P.E.P. 608.01(b)).
 - No more than 150 words.
 - Single paragraph -no legal phraseology such as "said" or "means".
- Continuing data is mentioned in first paragraph, including §371 data, if any, and agrees with the Continuing Data on Bibliographic Data Sheet. **NOTE:** If application data sheet (ADS) has been filed and contains continuity data, continuing data do NOT need to be mentioned in first paragraph; however, the Bibliographic Data Sheet (BDS) must agree with the most recent ADS- correct BDS if necessary.
- U.S. applications referred to by Attorney Docket Number should be changed to U.S. Application Numbers. (Examiner's amendment, no attorney authorization required.)

| SP | ECIFICATION, continued |
|----------|---|
| ď | Update status of referenced U.S. applications (e.g. "now abandoned", or "issued as US Patent No.:" (Examiner's amendment, no attorney authorization required.) |
| d | Recheck for Brief Description of Drawings of each figure. Note that if figures have multiple parts (e.g. Fig. 1A, Fig. 1B, etc.) Each individual part must be referred to in the brief description of the drawings. (Examiner's amendment, no attorney authorization required.) |
| d | Recheck Brief Summary & Description to see if in harmony with the claims. If not, require applicant to modify, using FP 1307 (M.P.E.P. 1302.01). |
| ď | No blanks or missing text (e.g. "Serial Number"). |
| ₫ | No unclear or missing words because of HOLES at top of page or poor copy quality. |
| Ø | No missing pages or page numbers, no duplicate pages, page numbers are consecutive. |
| ď | Examples, tables, etc. numbered/lettered consecutively. |
| ₫, | Text and tables/charts legible. |
| ₫ | No non-initialed alterations. |
| ₫ | Minor, obvious errors in spelling, grammar, punctuation corrected by Examiner (Examiner's amendment, no attorney authorization required). |
| d | CD-ROM submissions (e.g. large tables, computer programs) are in compliance with M.P.E.P. 608.05. |
| | Biotech only: ☐ Complies with sequence rules: ☐ CRF (computer readable form) filed and approved by STIC or generated from parent (M.P.E.P. 2422.05) ☐ Raw sequence listing entered in IFW (STIC printout). ☐ Sequence listing in IFW (copy provided by applicant). ☐ Sequence listing matches CRF. |
| | All requirements for Deposit of Biological Materials have been fulfilled (See M.P.E.P. 2411: 37 C.F.R. §1.809). |

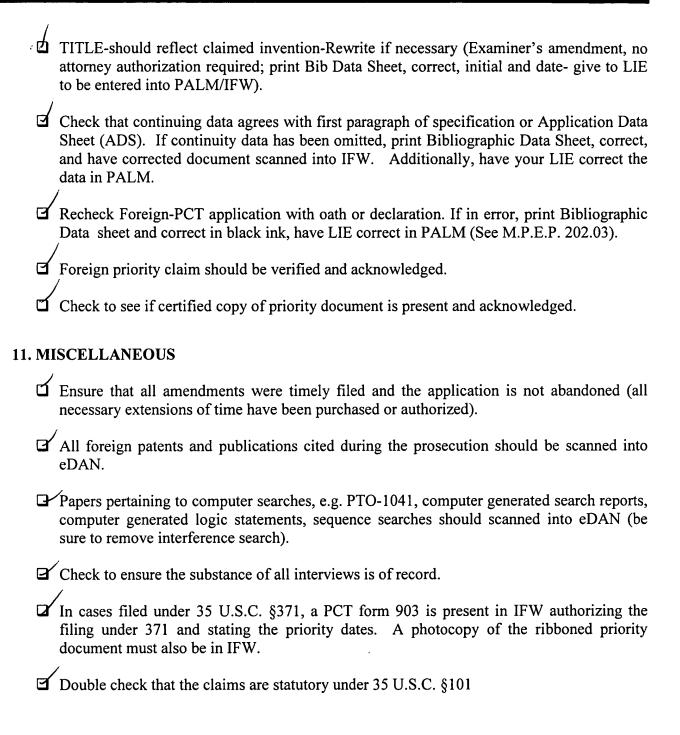
3. OATH OR DECLARATION





| 8. IFW | SEARCH NOTES FORM: |
|----------|--|
| ₫ | Update and complete Searched Box, Search Notes Box and Interference Searched Box. |
| <u>.</u> | Search Notes Box: Parent files checked Record consultations with other examiners, primaries, SPEs, SPREs and TQASs. Database searches recorded, with "printout attached" indicated, or search strategy set forth; specific vendors and files listed (e.g. Dialog, files 5, 55). Inventor/assignee search noted for possible double patenting issues. |
| 7 | Interference Searched Box: Lists both original class and subclass and all cross-referenced classes and subclasses. Indicates interference search of claimed sequences (Biotech). Search the broadest claims on EAST or WEST for all original and cross-referenced classes and subclasses. Make sure PG-PUB file is searched. Provide copies of search results in case. |
| 9. IFW | ISSUE CLASSIFICATION FORM: |
| ₫ | Assistant Examiner and Primary Examiner spaces should be completed. |
| | Primary Examiner must SIGN above their typewritten name. |
| Ø | Update FINAL classification |
| D | Complete classification cross-references. |
| ⅎ | Complete INTERNATIONAL CLASSIFICATION- available on PTO intranet. |
| Ď | Print claim should be the most comprehensive independent claim that conveys the nature of the invention. If dependent claim is printed, independent claim from which it depends must also be printed. |
| ď | If only one claim is being allowed, write "the" in the "print claim" box instead of "1". |
| ø/ | If there is a Terminal Disclaimer print IFW terminal disclaimer sheet. |
| Ø | Index of Claims no longer required upon allowance, issue classification form is sufficient. |

10. BIBLIOGRAPHIC DATA SHEET:



12. ITEMS TO BE PLACED IN RED FOLDER

| LEFT SIDE OF FOLDER: | | |
|---|--|--|
| (Papers to be scanned but not mailed) | | |
| PTO-1472 Case Action Worksheet (Count Sheet) | | |
| Issue Classification form (IFW) | | |
| IFW-Application Number | | |
| IFW-Search Notes including Interference search in PG-PUBs | | |
| FW-Terminal Disclaimer, if applicable | | |
| Initial and update Bibliographic Data Sheet | | |
| RIGHT SIDE OF FOLDER: | | |
| (Papers to be mailed to applicant) | | |
| PTO-37 Notice of Allowability | | |
| Examiner's Amendment (if applicable) | | |
| Reasons for Allowance (if applicable) | | |
| PTO-892 and/or PTO-1449 (if applicable) | | |
| Any newly cited NPL or Foreign Patent or publication cited on PTO-892 | | |